

# Finite fields: An introduction. Part II.

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  - Definitions

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- ② Classical codes over finite fields
  - Linear codes: basic properties

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- Linear codes: basic properties
- Encoding methods
- Hamming distance as a metric



# Polynomials over finite fields

- Let  $\mathbb{F}$  be a finite field. A *polynomial* over  $\mathbb{F}$  is an expression of the form

$$f(x) = \sum_{i=1}^n a_i x^i = a_0 + a_1 x + \cdots + a_n x^n$$

where  $n = \deg(f)$  is a nonnegative integer called the *degree* of  $f(x)$ ,  $a_i \in \mathbb{F}$  for all  $0 \leq i \leq n$  and  $x$  is a symbol not belonging to  $\mathbb{F}$ , called an *indeterminate* over  $\mathbb{F}$ .

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- Example: let  $f(x) = x^2 + 2x + 1$  and  $g(x) = 2x + 1$  be two polynomials over  $\mathbb{F}_3$ . Then

$$f(x) + g(x) = x^2 + x + 2 \text{ and } f(x)g(x) = 2x^3 + 2x^2 + x + 1.$$

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- The polynomials over a finite field  $\mathbb{F}$  form an *integral domain* and are denoted by  $\mathbb{F}[x]$ .

## Theorem 1: Division Algorithm

Let  $g \neq 0$  be a polynomial in  $\mathbb{F}[x]$ . Then for any  $f \in \mathbb{F}[x]$  there exist polynomials  $q, r \in \mathbb{F}[x]$  such that

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- A polynomial with the leading term  $a_n = 1$  is called a *monic polynomial*. A polynomial of degree zero is called a *constant polynomial*.

## Theorem 2: Greatest Common Divisor

Let  $f_1, f_2, \dots, f_n$  be polynomials in  $\mathbb{F}[x]$  not all of which are 0. Then there exists a uniquely determined monic polynomial  $d \in \mathbb{F}[x]$  with the following properties: (i)  $d$  divides each  $f_j$ ,  $1 \leq j \leq n$ ; (ii) any polynomial  $c \in \mathbb{F}[x]$  dividing each  $f_j$ ,  $1 \leq j \leq n$ , divides  $d$ . Moreover,  $d$  can be expressed in the form

$$d = b_1 f_1 + b_2 f_2 + \cdots + b_n f_n, \text{ with } b_1, b_2, \dots, b_n \in \mathbb{F}[x].$$



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- A polynomial  $p \in \mathbb{F}[x]$  is said to be *irreducible over  $\mathbb{F}$*  (or *prime in  $\mathbb{F}[x]$* ) if  $p$  has positive degree and  $p = bc$  with  $b, c \in \mathbb{F}[x]$  implies that either  $b$  or  $c$  is a constant polynomial.

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- Example:  $x^2 - 2 \in \mathbb{Q}[x]$  is irreducible over the field  $\mathbb{Q}$  of rational numbers, but  $x^2 - 2 = (x + \sqrt{2})(x - \sqrt{2})$  is reducible over the field  $\mathbb{R}$  of real numbers.

### Theorem 3: Unique Factorization in $\mathbb{F}[x]$

Any polynomial  $f \in \mathbb{F}[x]$  of positive degree can be written in the form

$$f = ap_1^{e_1} p_2^{e_2} \cdots p_k^{e_k},$$

where  $a \in \mathbb{F}$ ,  $p_1, p_2, \dots, p_k$  are distinct monic irreducible polynomials in  $\mathbb{F}[x]$ , and  $e_1, e_2, \dots, e_k$  are positive integers. Moreover, this factorization is unique apart from the order in which the factors occur.

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- The above equation is called *the canonical factorization* of the polynomial  $f$  in  $\mathbb{F}[x]$ .
- Central question about polynomials in  $\mathbb{F}[x]$ : decide if it is reducible or not. Not a trivial problem.

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- If  $f$  is an irreducible polynomial in  $\mathbb{F}[x]$  of degree  $\geq 2$ , then Theorem 4 shows that  $f$  has no root in  $\mathbb{F}$ . The converse holds for polynomials of degree 2 or 3, but not necessarily for polynomials of higher degree.



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### Theorem 5

The polynomial  $f \in \mathbb{F}[x]$  of degree 2 or 3 is irreducible in  $\mathbb{F}[x]$  if and only if  $f$  has no root in  $\mathbb{F}$ .

# Explicit construction of the finite field $\mathbb{F}_q$

## Theorem 6

For  $f \in \mathbb{F}[x]$ , the residue class ring  $\mathbb{F}[x]/f$  is a field if and only if  $f$  is irreducible over  $\mathbb{F}$ .

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- 1 Select a monic irreducible polynomial  $f(x)$  of degree  $n$  in  $\mathbb{F}_p[x]$  (it always exists).
- 2 The distinct residue classes comprising  $\mathbb{F}_q[x]/f$  are described explicitly as  $r + (f)$ , where  $r$  runs through all polynomials in  $\mathbb{F}_p$  with  $\deg(r) < \deg(f)$ . Two residue classes  $g + (f)$  and  $h + (f)$  are identical precisely if  $g \equiv h \pmod{f}$ , that is,  $g - h$  is divisible by  $f$ . There are  $p^n$  polynomials in  $\mathbb{F}_p[x]$ , of degree smaller than  $n$ .

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- 3 Identify each element of  $\mathbb{F}_q$  by an equivalence class. Construct the field table by computing sums and product of polynomials modulo  $f$ .

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- Construct the addition and multiplication table. Too much  $\text{\LaTeX}$ code to be put in a single slide...



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### Proof.

A ball  $B_t(\mathbf{x})$  of radius  $t$  and center  $\mathbf{x} \in \mathbb{F}_q^n$  consists of all vectors  $\mathbf{y} \in \mathbb{F}_q^n$  such that  $d(\mathbf{x}, \mathbf{y}) \leq t$ . The nearest neighbor decoding rule ensures that each received word with  $t$  or fewer errors must be in a ball of radius  $t$  and center the transmitted code word. To correct  $t$  errors, the balls with code words  $\mathbf{x}$  as centers must not overlap. If  $\mathbf{u} \in B_t(\mathbf{x})$  and  $\mathbf{u} \in B_t(\mathbf{y})$ ,  $\mathbf{x}, \mathbf{y} \in C$ ,  $\mathbf{x} \neq \mathbf{y}$ , then

$$d(\mathbf{x}, \mathbf{y}) \leq d(\mathbf{x}, \mathbf{u}) + d(\mathbf{u}, \mathbf{y}) \leq 2t,$$

a contradiction to  $d_C \geq 2t + 1$ . □

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### Lemma 8

A linear code  $C$  with parity-check matrix  $H$  has minimum distance  $D_C \geq s + 1$  if and only if any  $s$  columns of  $H$  are linearly independent.

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### Proof.

Assume there are  $s$  linearly dependent columns of  $H$ , then  $H\mathbf{c}^T = \mathbf{0}$  and  $\text{wt}(\mathbf{c}) \leq s$  for suitable  $\mathbf{c} \in C, \mathbf{c} \neq \mathbf{0}$ , hence  $d_C \leq s$ . Similarly, if any  $s$  columns of  $H$  are linearly independent, then there is no  $\mathbf{c} \in C, \mathbf{c} \neq \mathbf{0}$ , of weight  $\leq s$ , hence  $d_C \geq s + 1$ . □

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where  $\mathbf{a}^{(0)} = \mathbf{0}$  and  $s = q^{n-k} - 1$ .

- A received vector  $\mathbf{y}$  must be in one of the cosets, say  $\mathbf{a}^{(i)} + C$ . If the codeword  $\mathbf{c}$  was transmitted, then the error is given by  $\mathbf{e} = \mathbf{y} - \mathbf{c} = \mathbf{a}^{(i)} + \mathbf{z} \in \mathbf{a}^{(i)} + C$  for suitable  $\mathbf{z} \in C$ .

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- Thus we decode  $\mathbf{y}$  as  $\mathbf{x} = \mathbf{y} - \mathbf{e}$ .

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## Definition

Let  $C \subseteq \mathbb{F}_q^n$  be a linear  $(n, k)$  code and let  $\mathbb{F}_q^n/C$  be the factor space. An element of minimum weight in a coset  $\mathbf{a} + C$  is called *coset leader* of  $\mathbf{a} + C$ . If several vectors in  $\mathbf{a} + C$  have minimum weight, we choose one of them as coset leader.

## Definition

Let  $H$  be the parity-check matrix of a linear  $(n, k)$  code  $C$ . Then the vector  $S(\mathbf{y}) = H\mathbf{y}^T$  of length  $n - k$  is called the *syndrome* of  $\mathbf{y}$ .



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- For 2) note that  $S(\mathbf{y}) = S(\mathbf{z})$  if and only if  $H\mathbf{y}^T = H\mathbf{z}^T$  if and only if  $H(\mathbf{y} - \mathbf{z})^T = \mathbf{0}$  if and only if  $\mathbf{y} - \mathbf{z} \in C$  if and only if  $\mathbf{y} + C = \mathbf{z} + C$ .



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- 3 Then decode  $\mathbf{y}$  as  $\mathbf{x} = \mathbf{y} - \mathbf{e}$ . Here  $\mathbf{x}$  is the code word with minimum distance to  $\mathbf{y}$ .

# Coset-Leader example

Discuss it on the board.